



12/22/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John De Treville
Serial No.: 10/671,185
Filed: September 24, 2003
Confirmation No.: Unknown
Due Date: December 24, 2003
Title: METHOD AND SYSTEM FOR PERSONAL POLICY-CONTROLLED AUTOMATED RESPONSE TO INFORMATION TRANSFER REQUESTS

Examiner: Not Yet Assigned
Group Art Unit: Not Yet Assigned
Docket: 50037.0197US01
Notice of Allow. n/a
Date:

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 300137982 US
Date of Deposit: December 19, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 
Name: Jennifer Weck

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

27488

PATENT TRADEMARK OFFICE

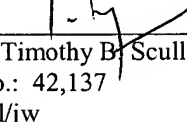
Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 7 Reference(s)
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: 
Name: Timothy B. Scull
Reg. No.: 42,137
TBScull/jw



10/671,185

PATENT

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By:

Name: Jennifer Weck

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

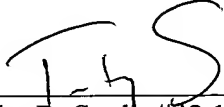
No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

Dated: 12/19/03



Timothy B. Scull, #42,137
MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
303.357.1648

27488

PATENT TRADEMARK OFFICE

FORM 1449*

INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

50037.0197US01

Application Number:

10/671,185

Applicant: John De Treville

Filing Date: September 24,
2003

Group Art Unit: Not Yet Assigned



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		"Using Attribute Certificates with Mobile Policies in Electronic Commerce Applications", by Vinti Doshi, Amgad Fayad, Syushil Jajodia, Roswitha MacLean, Proceedings 16 th Annual Computer Security Applications Conference, p. 298-3007; IEEE Comput. Soc., Los Alamitos, CA, 2000.
		"Trust-Based Security in Pervasive Computing Environments", by Lalana Kagal, Tim Finin, and Anupam Joshi, Journal: Computer vol. 34, no. 12, p. 154-7; IEE Comput. Soc., December 2001.
		"A Mobile Network Architecture with Personalized Instant Information Access", by Z. Sahinoghlu, F. Matsubara, K.A. Peter, and J. Cukier, 2002 Digest of Technical Papers, International Conference on Consumer Electronics (IEEE Cat. No. 02CH37300), p. 34-5; IEEE, Piscataway, NJ, 2002.

27488

PATENT TRADEMARK OFFICE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

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						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		"Providing Tailored (Context-Aware) Information to City Visitors," by Keith Cheverst, Nigel Davies, Keith Mitchell, and Paul Smith, Adaptive Hypermedia and Adaptive Web-Based Systems, International Conference, AH 2000, Proceedings (Lecture Notes in Computer Science Vol. 1892), p. 73-85; Springer-Verlag, Berlin, Germany, 2000.
		"XML-Based Distributed Access Control System," by Javier Lopez, Antonio Mana, and Mariemma I. Yague, E Commerce and Web Technologies, Third International Conference, EC-Webb, 2002, Proceedings (Lecture Notes in Computer Science Vol. 2455), p. 203-13; Springer-Verlag, Berlin, Germany, 2002.
		"A User Adaptive Mobile Commerce System with a Middlet Application," by Eunseok Lee and Sera Jang, Intelligent Data Engineering and automated Learning - IDEAL 2002, Third International Conference (Lecture Notes in Computer Science Vol. 2412), p. 193-9; Springer-Verlag, Berlin, Germany.

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		"Agent-Based QOS Negotiation for Mobile Users," by Hamid Harroud, Ahmed Karmouch, Tom Gray, and Serguei Mankovski, Proceedings 16 th Annual Computer Security Applications Conference (ACSAC'00), p. 298-307; IEEE Comput. Soc., Los Alamitos, CA, 1999.

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